

#### Alzheimer Society Research Program (ASRP) 2026 Proof of Concept Letter of Intent (LOI) Application Guidelines

### Application deadline:

#### July 21, 2025, 5 p.m. (EDT)

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#### **1. INTRODUCTION**

The Alzheimer Society Research Program (ASRP) is a successful initiative, within which all provincial and territorial Alzheimer Societies, the Alzheimer Society of Canada (ASC), partners and donors all collaborate to support research that both alleviates the personal and social consequences of dementia and brings us closer to a world without dementia.

The ASRP provides grants and awards to support Canadian studies that promise new insights into causes, risk reduction, diagnosis, treatment, and management of Alzheimer's disease and other dementias. One of these grants is the **Proof of Concept Grant**.

• **Proof of Concept Grants** are designed to fund innovative, high-risk and high-reward research that pushes beyond the boundaries of our existing understanding of dementia, including but not limited to mechanisms, risk reduction, treatment, care, programs and policy. We are looking for bold and challenging new directions in dementia research that have the potential to lead to groundbreaking findings.

Researchers interested in submitting a Proof of Concept Grant application must first submit a Letter of Intent (LOI) to be pre-screened.

This set of guidelines is specific to the **Proof of Concept LOI application process ONLY**. Guidelines for the full ASRP 2026 competition, set to launch September 8, 2025, will be released closer to the launch date. The ASRP Guidelines from the 2025 competition, for reference, are available through the following link: <u>2024-2025 ASRP</u> <u>Guidelines</u>

**The ASRP supports an open competition.** With an aim to support research that will improve the lives of those with lived experience of dementia, the ASRP invites applicants from **all disciplines** to apply. Eligible proposals may be cross-cutting or interdisciplinary in nature.

#### 1.1 Information and data privacy

The ASRP and all documents and information provided for the purpose of peer review are subject to the conditions of the Privacy Act and Access to Information Act. All information contained in applications, internal and external reviews and panel discussions is highly sensitive. Therefore, the applications and any discussions thereof are treated as strictly confidential and may not be used for any purpose beyond that for which they were originally intended. All materials related to the review process are stored on secure servers to prevent unauthorized access. Information and data are transmitted using secure carriers and technologies. When data and information are no longer required, paper documents must be destroyed using a secure method such as shredding or returned to ASC for destruction, and electronic documents must be deleted.

## **1.2 Conflict of interest**

All Panel Members (Peer Reviewers, Citizen Reviewers and External Reviewers), Alzheimer Society Staff, and Observers will not discuss with applicants or reviewers any information relating to the review of a specific application. As such, the ASRP makes every effort to ensure not only that decisions are fair and objective, but also that they are seen to be so. Individual panel members are asked to avoid any actions that might give the appearance that a **conflict of interest** exists or could reasonably be viewed as affecting their objectivity. When

a reviewer is uncertain as to whether a conflict exists, they should inform ASC of the potential conflict and ASC will make the final decision as to whether they may appropriately review certain ASRP applications.

The ASRP follows the direction of the <u>Tri-Agency's Responsible Conduct of Research</u>, which describes the policies and requirements for applying for research funding, managing funds, conducting research, and disseminating results. ASC implements rigid assessments of **conflict of interest** amongst its peer reviewers, donors, and external reviewers in order to eliminate any potential risks that would pose a threat to the integrity of the research, the researcher, or the Alzheimer Society and its affiliated partners. **Conflicts of interest** may arise when a stakeholder's interests and intent towards an area of research intersects with any personal, commercial, or financial interest or benefit pertaining to the individual, their employment, or partnerships and former or prospective professional associations. Conflicts may also arise when activities or situations place an individual—such as a researcher—in a real, potential, or perceived conflict between the duties or responsibilities related to research and personal, institutional, or other interests.

## **2. GENERAL PROVISIONS**

Grants and awards are intended to support researchers based in a Canadian university or affiliated institution and are not provided to support research sponsored by for-profit organizations.

### **2.1 Submission Procedure**

The ASRP does not accept applications and supporting documents via mail or email. All applications (LOI and full application submissions) and supporting documents must be submitted online through ASC's SurveyMonkey Apply portal (English: <u>asc.smapply.ca</u>). All Proof of Concept LOI applications must be submitted by July 21, 2025 5 PM EDT. Incomplete LOI applications <u>will not be processed</u>.

#### 2.2 Review Criteria and Process

Proof of Concept LOI applications are reviewed by a panel of experts in the field of dementia through a prescreen peer review process. Proof of Concept LOI applications are evaluated based on scientific merit and novelty.

Pre-screen peer review comments will be provided to LOI applicants following the panel meetings. Only those applications deemed to be truly Proof of Concept will be invited to submit a full proposal in September 2025. ASC will not enter into discussion on specific items in the reviews provided to applicants on reasons for the rejection of applications. ASC makes every effort to ensure the confidentiality of each application.

## **2.3 Notifications**

Official notification of the pre-screen Proof of Concept LOI results is provided in the Summer of 2025. These results are transmitted to the applicants electronically via email. It is the applicant's responsibility to ensure that ASC is provided with a current email address and to notify the ASC Research Department of any updates that need to be made to the contact information provided in the LOI application. Applicants invited to submit a full proposal (for the main Competition that will launch on September 8, 2025) following the pre-screen of their LOI must notify ASC electronically of their intention to submit within ten (10) business days of their receiving the result of their LOI application electronically.

#### 2.4 Co-Investigators and Collaborators

In accordance with the definitions provided by the Social Sciences and Humanities Research Council (SSHRC) and in line with the Canadian Institutes of Health Research (CIHR), a co-investigator is defined as an individual—

participating in a grant application—who makes a significant contribution to the intellectual direction of the research or research-related activity, who plays a significant role in the conduct of the research or research-related activity, and who may also have some responsibility for financial aspects of the research. A collaborator is an individual—participating in a grant application—who may make a significant contribution to the intellectual directual direction of the research or research-related activity and who may play a significant role in the conduct of the research or the direction.

### 2.5 Host Institution/Location of Research

The host institution must take full responsibility for the conduct of the research being funded, in accordance with all ASRP policies. These include maintaining integrity in research and scholarship, full ethical review and surveillance, compliance with accepted biohazard and animal care regulations, and full financial accounting and control.

### 2.6 Ethics and Safety Certificates

Responsible Conduct of Research (RCR) is at the cornerstone of the ASRP's commitment to excellence in dementia research. As such, the ASRP is committed to the <u>Tri-Agency Framework for Responsible Conduct of Research</u>. ASC, in its administration of the ASRP, follows this framework's policies and requirements in the management of its funds, research performance and dissemination of research results.

*If invited to submit a full application*, the applicant, the President (or Principal of Institution), the Head of the Department, and the Dean of Faculty (or Director of Institution) must sign a statement indicating that the proposal will be reviewed by the appropriate ethical review committee prior to the commencement of the project. Funds will not be released until ASC has received copies of all necessary ethical and safety certificates.

In the case of animal experimentation, lab procedures must be consistent with the Guiding Principles enunciated by the Canadian Council on Animal Care.

In cases of human research, the proposed research must be reviewed in a manner which conforms to the guidelines as outlined by the Tri-Council Policy Statement, "Ethical Conduct for Research Involving Humans."

When observations are being made of research participants either at home or within a community setting and/or information is being requested of caregivers or health-care professionals involved, the applicant must indicate within the proposal that issues of privacy and confidentiality will be discussed with these individuals and that written agreement will be obtained.

Where biological and chemical hazards are involved, documentation must be provided demonstrating that the procedures meet the requirements as outlined in Health Canada's Laboratory Biosafety Guidelines.

## 2.7 Intellectual Property

ASC and, where applicable, ASRP partners, do not wish to own or have a vested interest in intellectual property which may emanate from any of the research projects it is supporting. Neither does ASC wish to dictate terms to host institutions as to how they handle intellectual property. ASC does, however, insist that the host institution have a clear policy regarding intellectual property and that such policy be in force at the time any grant/award is made. ASRP-funded research is intended to contribute to the development of knowledge related to Alzheimer's disease and other dementias rather than the promotion of commercial interests.

# 3. Proof of Concept Grant - Letter of Intent

#### **3.1 Eligibility Requirements**

The Proof of Concept Grants program will favour applications that focus on challenging new directions in

research into Alzheimer's disease and other dementias. The Proof of Concept Grant will support investigators with innovative, high-risk, and high-yield explorations into dementia research. **The maximum amount awarded is \$100,000; the grant can be held for a maximum of three (3) years.** 

The principal investigator (and any co-investigators) on a research grant must be based in Canada or be an independent researcher (i.e. professor, associate professor, assistant professor, or professor emeritus) with a Canadian university or affiliated institution (e.g.., teaching hospital). A researcher based outside of Canada would be eligible as a co-investigator only if their participation in the project occurred entirely in a Canadian university or affiliated institution.

Principal investigators must hold a position rank of Assistant Professor or higher in a Canadian researchintensive university. Adjunct professors at or above the level of Assistant Professor are eligible to apply. Each grant application must designate a nominated principal investigator, who will be the administrative contact for the grant, should the grant be awarded.

Applicants cannot apply for more than **one** grant in total per competition, regardless of their role (e.g., individuals cannot submit one application as the principal investigator and another application as a co-investigator). However, applicants can be listed as collaborators on multiple applications.

Doctoral students and postdoctoral fellows are not eligible to apply as principal investigators for research grants and cannot be listed as co-investigators. All co-investigators must meet the same eligibility criteria as that required for a principal investigator.

**Proof of Concept Grants are pre-screened** through an LOI process to ensure they meet this grant application's requirements/eligibility. Only those applications that are deemed to be truly Proof of Concept (as defined above) will be asked to submit a full application for peer review and review amongst Citizen Reviewers. Proof of Concept requirements are also provided in full within the LOI process form on SurveyMonkey Apply: <u>asc.smapply.ca</u>

# **3.2 Guiding Principles**

The Proof of Concept Grants program is looking to support applications that observe the following guiding principles:

- (a) Applications must propose **novel** research questions that challenge the *status quo* in research, practice, and/or policy into Alzheimer's disease and other dementias.
- (b) Established dementia researchers, interdisciplinary teams, and researchers outside the field of Alzheimer's disease and other dementias who are proposing novel research that is clearly relevant to Alzheimer's disease and other dementias are all encouraged to apply.
- (c) While preliminary data are always helpful in determining the validity of any test hypothesis, the Proof of Concept grants program may also fund research that is at the earlier stage of conception (little preliminary data if at all, or potentially unorthodox research rationale or approach).

## 3.3 Key Dates

The ASRP's focus on funding innovation and excellence in Canadian dementia research is supported by the Proof of Concept grant program. We encourage researchers from diverse backgrounds to submit an LOI for a Proof of Concept application if they wish to propose a novel, high-risk, and challenging hypothesis or research question.

The LOI form is open to Proof of Concept applicants on **June 6, 2025** via SurveyMonkey Apply. The submission of LOIs is due by **July 21, 2025**.

A pre-screen review process of Proof of Concept LOI submissions will take place in August 2025. Proof of Concept applicants <u>who have passed the pre-screen review process</u> will be notified before the end of August 2025. Those applicants will then be invited to submit a full ASRP Proof of Concept Grant application when the competition launches on September 8, 2025.

#### **4. CONTACT INFORMATION**

Enquiries relating to the ASRP should be directed to:

Research Department Alzheimer Society of Canada 20 Eglinton Ave., W., Ste. 1600 Toronto, ON M4R 1K8 Tel: 416 488-8772 Ext 2976 Fax: 416 488-3778 Email: <u>research@alzheimer.ca</u>